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# Determinants and outcomes of physical activity in patients with COPD: a systematic review

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## ABSTRACT

**Background** The relationship between physical activity, disease severity, health status and prognosis in patients with COPD has not been systematically assessed. Our aim was to identify and summarise studies assessing associations between physical activity and its determinants and/or outcomes in patients with COPD and to develop a conceptual model for physical activity in COPD.

**Methods** We conducted a systematic search of four databases (Medline, Embase, CINAHL and Psycinfo) prior to November 2012. Teams of two reviewers independently selected articles, extracted data and used the Grading of Recommendations Assessment, Development, and Evaluation (GRADE) to assess quality of evidence.

**Results** 86 studies were included: 59 were focused on determinants, 23 on outcomes and 4 on both. Hyperinflation, exercise capacity, dyspnoea, previous exacerbations, gas exchange, systemic inflammation, quality of life and self-efficacy were consistently related to physical activity, but often based on cross-sectional studies and low-quality evidence. Results from studies of pharmacological and non-pharmacological treatments were inconsistent and the quality of evidence was low to very low. As outcomes, COPD exacerbations and mortality were consistently associated with low levels of physical activity based on moderate quality evidence. Physical activity was associated with other outcomes such as dyspnoea, health-related quality of life, exercise capacity and FEV<sub>1</sub> but based on cross-sectional studies and low to very low quality evidence.

**Conclusions** Physical activity level in COPD is consistently associated with mortality and exacerbations, but there is poor evidence about determinants of physical activity, including the impact of treatment.

## INTRODUCTION

A substantial amount of research about physical activity in patients with COPD has been published recently, prompted by studies showing that low levels of physical activity are associated with poor prognosis in COPD<sup>1 2</sup> and by observations that patients with COPD are substantially less physically active than healthy subjects of the same age and sex.<sup>3 4</sup> As a result there is the general notion that physical activity is important for patients with COPD.

## Key messages

### What is the key question?

► Despite the increasing research on physical activity and COPD, it is unclear which are the determinants and outcomes of physical activity in patients with COPD.

### What is the bottom line?

► Physical activity level in COPD is consistently associated with mortality and exacerbations, but this is in contrast to the poor evidence about determinants of physical activity, including current COPD treatments.

### Why read on?

► This is a systematic review that will help clinicians to interpret the currently very heterogeneous literature on the topic and investigators to identify which research needs to be prioritised.

Knowledge about the determinants and outcomes of physical activity in patients with COPD is needed to design interventions, to guide further research including randomised clinical trials and to improve the management of patients with COPD. It is currently unclear what the determinants and outcomes of different levels of physical activity are and to what extent current pharmacological and non-pharmacological treatments may modify physical activity levels. A determinant is defined as any factor that brings about change in a health condition or other defined characteristics, such as physical activity levels.<sup>5</sup> Determinants can be modifiable (eg, dyspnoea) or non-modifiable (eg, age), and can also include interventions that may modify levels of physical activity, such as pulmonary rehabilitation or behavioural support. In the same way, an outcome is defined as all the possible results that may stem from an exposure, such as low levels of physical activity.<sup>5</sup> Occasionally the same variable may be a determinant or an outcome of physical activity (eg, lung function or health related quality of life).

A recent expert review presented an interesting theoretical framework describing the role and consequences of physical inactivity in patients with

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## Chronic obstructive pulmonary disease

COPD,<sup>6</sup> but was not based on a systematic and thus a complete review of the available literature of the determinants and outcomes of physical activity. Therefore, our aim was to identify and summarise studies assessing associations between physical activity and its determinants and/or outcomes in patients with COPD.

### METHODS

#### Data sources and searches

This study was part of the European Commission funded PROactive project (<http://www.proactivecopd.com>), which aims to develop and validate patient-reported outcome (PRO) instruments that capture the dimensions of physical activity in daily life relevant to patients with COPD. We utilised standard systematic review methodology following the handbooks of the Centre for Reviews and Dissemination<sup>7</sup> and the Cochrane Collaboration.<sup>8</sup> The manuscript follows the PRISMA<sup>9</sup> statement for reporting of systematic reviews and meta-analyses. All methods were specified in advance, documented in a protocol (see online supplement 1), and approved by the PROactive consortium. Details of the performed searches are provided in online supplement 2.

The bibliographic details of all retrieved articles were stored in a RefWorks-COS file. We removed duplicate records resulting from the various database searches. The source of identified articles (database, hand search, researcher contacts) was recorded in a 'user defined field' of the RefWorks-COS file. An additional 'user defined field' was assigned to individual reviewers where they recorded their decision for inclusion and exclusion.

#### Study selection

Two reviewers independently assessed the title and abstract of every citation retrieved by the database searches (form available in online supplement 3). The decisions of the reviewers (order full text or reject citation) were recorded in the RefWorks-COS file and compared. We ordered all articles that were deemed potentially eligible by at least one member of the consortium. Two independent reviewers evaluated the retrieved full texts and made a decision on inclusion or exclusion according to the predefined selection criteria (form available in online supplement 4). Any disagreements in any phase were resolved by consensus, with close attention paid to the previously defined inclusion/exclusion criteria. In the case of persistent disagreement, a third member adjudicated. All studies that did not fulfil the predefined criteria were excluded and their bibliographic details were listed with the specific reason for exclusion.

We included studies if they fulfilled the following criteria:

1. Studies in which determinants and outcomes of physical activity, explicitly or implicitly defined as 'any bodily movement produced by skeletal muscles that results in energy expenditure'<sup>10</sup> were assessed.
2. Study design: longitudinal observational studies (prospective and retrospective); randomised and non-randomised trials: both arms (intervention+control) if the outcome was physical activity, or only the control arm when used in an analysis similar to a longitudinal observational study; and cross-sectional and case-control observational studies if they included associations with other variables.
3. Population: patients with COPD defined by spirometry (any definition as long as it was based on spirometry).
4. Causal direction (directionality): with directionality we refer to the establishment of a temporal relationship between a determinant (must exist before levels of physical activity change) and physical activity. We included studies in which the design of the study allowed an assumption of causal

direction in associations between 'determinant and physical activity' or 'physical activity and outcome', that is, longitudinal studies and clinical trials, and studies in which the design could not address causality, that is, cross-sectional studies, but the authors clearly stated in the introduction or in the methods which was the hypothesised direction.

5. No language or date restrictions were imposed.

#### Data extraction and quality assessment

A Microsoft Office Access form was developed and used for data extraction. Two reviewers performed a pilot test of 10 randomly selected articles; the form was then refined prior to the final extraction process. The final version of the data extraction form was used by five independent reviewers to screen the full text of the included studies. Any disagreements were resolved by consensus, with close attention paid to the data extraction criteria (more details in online supplement 5).

We assessed the quality of the evidence for each association of physical activity with its determinants and outcomes following the Grading of Recommendations Assessment, Development and Evaluation (GRADE) approach<sup>11 12</sup> (more details in online supplement 5).

#### Data synthesis and analysis

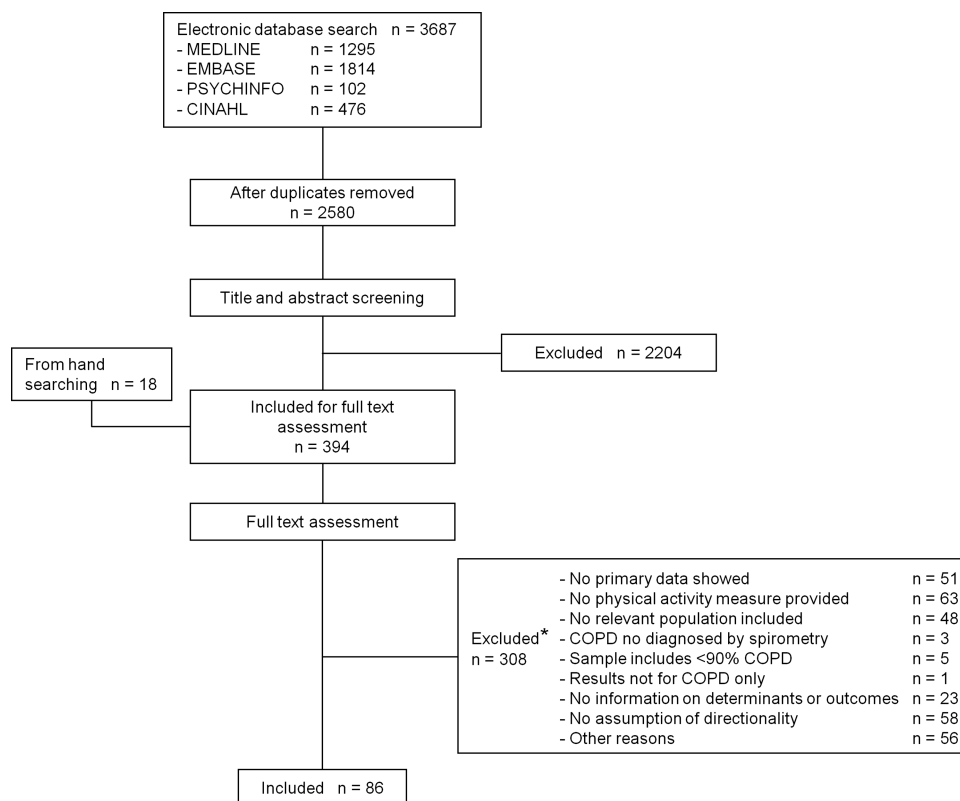
The results of the data extraction were summarised in structured tables, one for determinants and one for outcomes. We did not perform meta-analyses because we deemed the studies to be too different to summarise their results statistically. However, we illustrated the individual effect of each study graphically for those determinants and/or outcomes that showed consistent results (eg, all showing results in the same direction) and when the quality of evidence was at least moderate. We developed a conceptual model based on the determinants and outcomes included in the assessment and on the quality of evidence, highlighting the directionality, the consistency and the confidence rating.

## RESULTS

### Summary of studies

Figure 1 shows the flow diagram of the identification of the studies, from 3687 references to 86 articles that were finally included. Online supplementary table S1 shows the reasons for exclusion. From 86 studies included, 59 assessed only determinants of physical activity in COPD, 23 only outcomes and 4 both. Table 1 shows information on reference details, study design and number of subjects included in each study (S1–S86 on references section of online supplement). Regarding the study design, 36 were cross-sectional, 4 case-control, 16 longitudinal, 12 randomised controlled trials and 18 non-randomised and/or non-controlled studies. Online supplementary table S2 shows detailed information on patients' characteristics and instruments for measuring physical activity of the 86 studies included. Almost half of the studies (n=38) measured physical activity using only a direct method (accelerometer and/or pedometer), 43 used only an indirect method (validated or non-validated questionnaire), and 5 used both direct and indirect methods.

A large body of research focused on typical COPD characteristics as determinants of physical activity, such as FEV<sub>1</sub> (13 studies), exercise capacity measured by 6-min walk distance or VO<sub>2</sub> peak obtained during an incremental exercise test (7 studies) and dyspnoea (6 studies).



**Figure 1** Flow diagram of process of systematic literature search. \*Details for reason for exclusion in online supplementary table S1.

### Socio-demographic, lifestyle and environmental determinants

Between two and six studies assessed the role of sex, age, cultural group, marital status, socioeconomic status, education, working status, smoking status, alcohol consumption and day of the week as potential determinants of physical activity in patients with COPD. In most of the studies the design was cross sectional, thus limiting interpretation of causal direction. In addition, most studies did not adjust observed associations for potential confounders. Finally, for all of these potential determinants, the results were not consistent across studies. For example, current smoking status compared with former was statistically associated with higher physical activity levels in a given study,<sup>S33</sup> while no statistically significant differences in physical activity were found between smoking groups in another study.<sup>S34</sup> Detailed information on estimates of associations, statistically significant and non-significant, is provided in online supplementary table S3. Overall, the quality of evidence for sex and age as determinants of physical activity in patients with COPD was moderate and very low for the remaining socio-demographic, lifestyle and environmental factors (table 2A).

### Clinical and functional determinants

Regarding clinical and functional determinants, including FEV<sub>1</sub>, FVC, hyperinflation, gas exchange, exercise capacity, body mass index (BMI), dyspnoea, systemic inflammation, comorbidities, previous exacerbations, quality of life, self-efficacy or emotional status, most studies were cross sectional and lacked adjustment for confounders. Associations of physical activity with hyperinflation, gas exchange, exercise capacity, dyspnoea, systemic inflammation, previous exacerbations, quality of life and self-efficacy were consistent, but were inconsistent for FEV<sub>1</sub>, FVC, BMI, comorbidities and emotional status. Overall, almost all

associations were based on evidence graded as very low quality, except the association with hyperinflation which was graded as low quality (table 2B, details in online supplementary table S3).

### Drug and non-drug treatments

A large body of literature exists on the effect of exercise training on physical activity (21 studies). Although most studies were clinical trials so that the direction of the association could be established, some of them did not include a control group or included a control group without randomised allocation. Some did not control for confounders in the analysis. Furthermore, results on the effects of these interventions were inconsistent and the quality of evidence was low. Other non-pharmacological interventions identified in this review include physical activity advice, long-term oxygen therapy and dietary interventions. Again, design and analytical limitations preclude confidence on the results, so the quality of the evidence was rated as low to very low. Finally, only three studies tested the effect of pharmacological treatment (long-acting  $\beta_2$  agonist and/or oral and inhaled corticosteroids) on physical activity and combine observational with experimental designs. The results were inconsistent and have several methodological flaws, so the quality of this evidence was rated as very low (table 2C, details in online supplementary table S3).

### Outcomes

The literature covering the effect of physical activity on outcomes in COPD was smaller than for the determinants of physical activity, but the overall quality of evidence was better. Clinically relevant outcomes such as COPD exacerbations and mortality were assessed in eight and seven studies, respectively, which were of longitudinal design (thus showing the outcome to occur subsequent to a level of physical activity being

**Table 1** Reference details, design and number of subjects of 86 studies reporting associations between physical activity and its determinants or outcomes in patients with COPD

Reference	Study design	n	Reference	Study design	n	Reference	Study design	n
Altenburg WA, 2013 <sup>51</sup>	Cross sectional	155	Garcia-Aymerich J, 2004 <sup>530</sup>	Cross sectional	346	Pitta, F. 2009 <sup>559</sup>	Cross-sectional	80
Beauchamp MK, 2012 <sup>52</sup>	Cross sectional	37	Garcia-Aymerich J, 2006 <sup>531</sup>	Cohort	2386	Pomidori, L. 2012 <sup>560</sup>	Randomised non-controlled parallel study	36
Behnke M, 2005 <sup>53</sup>	Non-randomised controlled study	88	Garcia-Aymerich J, 2008 <sup>532</sup>	Cohort	2226	Probst, VS. 2011 <sup>561</sup>	Randomised non-controlled parallel study	40
Bendstrup KE, 1997 <sup>54</sup>	Randomised controlled trial	32	Garcia-Aymerich J, 2009 <sup>533</sup>	Cross sectional	341	Roig, M. 2011 <sup>562</sup>	Cohort	101
Benzo R, 2010 <sup>55</sup>	Cohort	597	Garcia-Rio F, 2009 <sup>534</sup>	Cross sectional	110	Sandland, CJ. 2008 <sup>563</sup>	Randomised controlled trial	20
Berry M, 2006 <sup>56</sup>	Cross sectional	291	Garcia-Rio F, 2012 <sup>535</sup>	Cohort	173	Schou, L. 2013 <sup>564</sup>	Randomised controlled trial	44
Berry M, 2010 <sup>57</sup>	Randomised non-controlled parallel study	176	Goto Y, 2004 <sup>536</sup>	Non-randomised controlled study	30	Sewell, L. 2005 <sup>565</sup>	Randomised non-controlled parallel study	180
Bestall J, 1999 <sup>58</sup>	Cross sectional	100	Hartman JE, 2013 <sup>537</sup>	Cross sectional	113	Sewell, L. 2010 <sup>566</sup>	Non-controlled study	95
Bon J, 2011 <sup>59</sup>	Cross sectional	190	Hataji O, 2013 <sup>538</sup>	Non-controlled study	23	Silva, DR. 2011 <sup>567</sup>	Cross-sectional	95
Bossenbroek L, 2009 <sup>510</sup>	Case-control	62	Inal-Ince D, 2005 <sup>539</sup>	Cross sectional	30	Skumlien, S. 2006 <sup>568</sup>	Cross-sectional	110
Bourbeau J, 2007 <sup>511</sup>	Cohort	421	Jehn M, 2012 <sup>540</sup>	Cross sectional	107	Skumlien, S. 2008 <sup>569</sup>	Non-randomised non-controlled parallel study	40
Breyer MK, 2010 <sup>512</sup>	Randomised controlled trial	60	Katajisto M, 2012 <sup>541</sup>	Cross sectional	719	Takigawa, N. 2007 <sup>570</sup>	Non-controlled study	225
Chao PW, 2011 <sup>513</sup>	Cross sectional	21	Lahaije A, 2013 <sup>542</sup>	Cross sectional	57	Troosters, T. 2010, <i>Respir Med</i> <sup>571</sup>	Cross-sectional	70
Chen Y, 2006 <sup>514</sup>	Cohort	145	Lee H, 2011 <sup>543</sup>	Cross sectional	131	Tsara, V. 2008 <sup>572</sup>	Case-control	133
Coronado M, 2003 <sup>515</sup>	Non-controlled study	15	Lemmens KMM, 2008 <sup>544</sup>	Cross sectional	278	Van Gestel, AJ. 2012 <sup>573</sup>	Cross-sectional	154
Dal Negro R, 2010 <sup>516</sup>	Randomised controlled trial	32	Lore, V, 2006 <sup>545</sup>	Cross sectional	23	Van Remoortel, H. 2013 <sup>574</sup>	Cross-sectional	59
Dallas MI, 2009 <sup>517</sup>	Non-controlled study	45	Mador MJ, 2011 <sup>546</sup>	Non-controlled study	24	Vergeret, J. 1989 <sup>575</sup>	Cohort	243
Daly C, 2011 <sup>518</sup>	Non-controlled study	8	Miravittles M, 2011 <sup>547</sup>	Cohort	346	Waatevik, M. 2012 <sup>576</sup>	Cross-sectional	370
de Blok BM, 2006 <sup>519</sup>	Randomised controlled trial	21	Monteiro F, 2012 <sup>548</sup>	Cross sectional	74	Wakabayashi, R. 2011 <sup>577</sup>	Randomised controlled trial	102
Effing T, 2011 <sup>520</sup>	Randomised controlled trial	153	Moy M, 2009 <sup>549</sup>	Cross sectional	17	Wakabayashi, R. 2011 <sup>578</sup>	Cross-sectional	389
Egan C, 2012 <sup>521</sup>	Non-controlled study	47	Moy M, 2013 <sup>550</sup>	Cohort	169	Walker, PP. 2008 <sup>579</sup>	Non-controlled study	23
Eisner MD, 2008 <sup>522</sup>	Cross-sectional	1202	Nguyen HQ, 2009 <sup>551</sup>	Randomised controlled trial	17	Waschki, B. 2011 <sup>580</sup>	Cohort	169
Eliason G, 2011 <sup>523</sup>	Cross-sectional	44	Nguyen HQ, 2013 <sup>552</sup>	Cross-sectional	148	Watz, H. 2008 <sup>581</sup>	Cross-sectional	170
Esteban C, 2006 <sup>524</sup>	Cohort	611	Nield M, 2005 <sup>553</sup>	Non-controlled study	48	Watz, H. 2009 <sup>582</sup>	Cross-sectional	170
Esteban C, 2010 <sup>525</sup>	Cohort	391	Okubadejo AA, 1997 <sup>554</sup>	Case-control	42	Watz, H. 2009 <sup>583</sup>	Cross-sectional	163
Esteban C, 2011 <sup>526</sup>	Cohort	611	Palop Cervera M, 2010 <sup>555</sup>	Case-control	125	Weekes, CE. 2009 <sup>584</sup>	Randomised controlled trial	59
Faager G, 2004 <sup>527</sup>	Randomised controlled trial	20	Pitta F, 2006 <sup>556</sup>	Cohort	17	Wewel, A. 2008 <sup>585</sup>	Non-controlled study	21
Faulkner J, 2010 <sup>528</sup>	Randomised controlled trial	20	Pitta F, 2006 <sup>557</sup>	Cross sectional	23	Yeo, J. 2006 <sup>586</sup>	Cross-sectional	27
Garcia-Aymerich J, 2003 <sup>529</sup>	Cohort	340	Pitta F, 2008 <sup>558</sup>	Cross sectional	40			

**Table 2** Quality of evidence for determinants and outcomes of physical activity in COPD, as identified in 86 studies

Determinant	N studies	Direction established	Control for confounding	Directness	Consistency	Strength	Low precision	Other	Confidence rating
<b>(A) Quality of evidence for socio-demographic, lifestyle and environmental determinants of physical activity</b>									
Age <sup>S30 S33 S34 S57 S71 S81</sup>	6	na	na	Yes	-1 <sup>1</sup>	No	No	No	+++ (moderate)
Alcohol consumption <sup>S30 S34</sup>	2	-2 <sup>2</sup>	-1 <sup>4</sup>	Yes	-1 <sup>1</sup>	No	-1 <sup>6</sup>	No	+ (very low)
Cultural group <sup>S14 S59 S71</sup>	3	-1 <sup>2</sup>	na	-1 <sup>3</sup>	-1 <sup>1</sup>	No	No	No	+ (very low)
Day of the week <sup>S45 S49 S82</sup>	3	-2 <sup>2</sup>	-1 <sup>4</sup>	Yes	-1 <sup>1</sup>	No	No	No	+ (very low)
Education <sup>S30 S33 S34</sup>	3	-2 <sup>2</sup>	-1 <sup>4</sup>	Yes	-1 <sup>1</sup>	No	No	No	+ (very low)
Marital status <sup>S30 S33</sup>	2	-2 <sup>2</sup>	-1 <sup>4</sup>	Yes	-1 <sup>1</sup>	No	No	No	+ (very low)
Sex <sup>S30 S33 S34 S57 S68 S81</sup>	6	na	na	Yes	-1 <sup>1</sup>	No	No	No	+++ (moderate)
Smoking habit <sup>S30 S33 S34</sup>	3	-2 <sup>2</sup>	-1 <sup>4</sup>	Yes	-1 <sup>1</sup>	No	-1 <sup>6</sup>	No	+ (very low)
Socioeconomic status <sup>S30 S33</sup>	2	-2 <sup>2</sup>	-1 <sup>5</sup>	Yes	-1 <sup>1</sup>	No	No	No	+ (very low)
Working status <sup>S30 S33 S34</sup>	3	-2 <sup>2</sup>	-1 <sup>4</sup>	Yes	-1 <sup>1</sup>	No	No	No	+ (very low)
<b>(B) Quality of evidence for functional and clinical determinants of physical activity</b>									
BODE index <sup>S34 S49 S57 S81 S83</sup>	5	-2 <sup>2</sup>	-1 <sup>5</sup>	Yes	-1 <sup>1</sup>	No	No	No	+ (very low)
Body mass index <sup>S30 S34 S48 S57 S81</sup>	5	-2 <sup>2</sup>	-1 <sup>5</sup>	Yes	-1 <sup>1</sup>	No	No	No	+ (very low)
Cardiovascular <sup>S30 S81</sup>	2	-2 <sup>2</sup>	-1 <sup>5</sup>	Yes	-1 <sup>1</sup>	No	-1 <sup>6</sup>	-1 <sup>7</sup>	+ (very low)
Dyspnoea <sup>S8 S41 S30 S34 S52 S57 S83</sup>	7	-2 <sup>2</sup>	-1 <sup>5</sup>	Yes	yes	No	No	No	+ (very low)
Emotional status <sup>S30 S52</sup>	2	-2 <sup>2</sup>	Yes	Yes	-1 <sup>1</sup>	No	No	No	+ (very low)
Exercise capacity (VO <sub>2</sub> max, 6MWD) <sup>S1 S6 S34 S37 S49 S52 S57 S74 S83</sup>	9	-2 <sup>2</sup>	-1 <sup>5</sup>	Yes	yes	No	No	No	+ (very low)
FEV <sub>1</sub> <sup>S6 S22 S30 S34 S41 S42 S49 S57 S58 S71 S81 S82 S83 S86</sup>	14	-1 <sup>2</sup>	-1 <sup>5</sup>	Yes	-1 <sup>1</sup>	No	No	No	+ (very low)
FVC <sup>S34 S57</sup>	2	-1 <sup>2</sup>	Yes	Yes	-1 <sup>1</sup>	No	No	-1 <sup>12</sup>	+ (very low)
Gas exchange (DLco) <sup>S34 S74</sup>	2	-2 <sup>2</sup>	-1 <sup>4</sup>	Yes	yes	No	No	No	+ (very low)
Gas exchange (PCO <sub>2</sub> ) <sup>S30 S34</sup>	2	-2 <sup>2</sup>	-1 <sup>4</sup>	Yes	yes	No	No	No	+ (very low)
Gas exchange (PO <sub>2</sub> ) <sup>S30 S34</sup>	2	-2 <sup>2</sup>	-1 <sup>4</sup>	Yes	yes	No	No	No	+ (very low)
Hyperinflation <sup>S34 S37 S42 S57 S58</sup>	5	-2 <sup>2</sup>	Yes	Yes	yes	No	No	No	++ (low)
Osteoarticular condition <sup>S30 S49</sup>	2	-2 <sup>2</sup>	-1 <sup>4</sup>	Yes	-1 <sup>1</sup>	No	-1 <sup>6</sup>	No	+ (very low)
Previous exacerbation <sup>S11 S30 S56</sup>	3	-1 <sup>2</sup>	-1 <sup>4</sup>	Yes	yes	No	No	-1 <sup>7</sup>	+ (very low)
Quality of life/health-related quality of life <sup>S1 S30 S34 S49 S57</sup>	5	-2 <sup>2</sup>	-1 <sup>4</sup>	Yes	yes	No	No	No	+ (very low)
Self-efficacy <sup>S1 S37</sup>	2	-2 <sup>2</sup>	Yes	Yes	yes	No	No	-1 <sup>7</sup>	+ (very low)
Systemic inflammation <sup>S34 S81</sup>	2	-2 <sup>2</sup>	-1 <sup>5</sup>	Yes	yes	No	No	-1 <sup>7</sup>	+ (very low)
<b>(C) Quality of evidence for interventions to modify levels of physical activity</b>									
Dietary intervention <sup>S16 S84</sup>	2	Yes	Yes	Yes	-1 <sup>1</sup>	No	No	-1 <sup>12</sup>	++ (low)
Exercise training <sup>S3 S4 S7 S12 S15 S17 S18 S20 S21 S27 S28 S46 S53 S60 S61 S64 S65 S66 S69 S70 S79</sup>	21	Yes	-1 <sup>8</sup>	Yes	-1 <sup>1</sup>	No	No	No	++ (low)
Long-acting β <sub>2</sub> agonist/corticosteroids <sup>S30 S34 S38</sup>	3	-2 <sup>2</sup>	-1 <sup>8</sup>	Yes	-1 <sup>1</sup>	No	-1 <sup>6</sup>	No	+ (very low)
Long-term oxygen therapy <sup>S30 S34 S54 S63 S72 S75</sup>	6	Yes	Yes	Yes	-1 <sup>1</sup>	No	No	-1 <sup>10,11</sup>	++ (low)
Physical activity advice <sup>S19 S51 S85</sup>	3	Yes	-1 <sup>8</sup>	Yes	-1 <sup>1</sup>	No	-1 <sup>9</sup>	No	+ (very low)
<b>Outcome</b>									
<b>(D) Quality of evidence for outcomes as a result of different levels of physical activity</b>									
Balance <sup>S2 S62</sup>	2	-1 <sup>2</sup>	-1 <sup>8</sup>	Yes	-1 <sup>1</sup>	No	No	-1 <sup>12,13</sup>	+ (very low)
Bone mineral density <sup>S9 S67</sup>	2	-2 <sup>2</sup>	-1 <sup>8</sup>	Yes	-1 <sup>1</sup>	No	No	-1 <sup>13</sup>	+ (very low)
Dyspnoea <sup>S33 S44</sup>	2	-1 <sup>2</sup>	Yes	Yes	Yes	No	No	-1 <sup>13</sup>	++ (low)
Exacerbations <sup>S5 S14 S29 S31 S32 S35 S50 S56</sup>	8	Yes	Yes	Yes	Yes	No	No	-1 <sup>13</sup>	+++ (moderate)

Continued



Table 2 Continued

Outcome	N studies	Direction established	Control for confounding	Directness	Consistency	Strength	Low precision	Other	Confidence rating
Exercise capacity (6MWD) <sup>5,13, 523, 533, 576</sup>	4	-1 <sup>2</sup>	Yes	Yes	Yes	No	No	-1 <sup>13</sup>	++ (low)
FEV <sub>1</sub> <sup>533, 544</sup>	2	-2 <sup>2</sup>	Yes	Yes	Yes	No	No	-1 <sup>13</sup>	+ (very low)
Mortality <sup>524, 526, 531, 532, 535, 555, 580</sup>	7	Yes	Yes	Yes	Yes	No	No	-1 <sup>13</sup>	+++ (moderate)
Quality of life/health-related quality of life <sup>524, 525, 540, 544</sup>	4	Yes	Yes	Yes	-1 <sup>1</sup>	No	No	-1 <sup>13</sup>	++ (low)

Interpretation of the categories of the quality of evidence: + (very low), there is a little confidence in the effect estimate, the true effect is likely to be substantially different from the estimate of effect; ++ (low), the confidence in the effect estimated is limited, the true effect may be substantially different from the estimate of effect; +++ (moderate), there is a moderate confidence that the true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different; and ++++ (high), there is high confidence that the true effect lies close to that of the estimate of the effect.

Reasons for upgrading or downgrading:

<sup>1</sup>Inconsistent effects (eg, different direction of effects) across and/or within studies with statistically significant and/or non-significant results.

<sup>2</sup>Direction could not be established because the majority (-1) or all (-2) studies were cross-sectional studies.

<sup>3</sup>The majority of studies included some sources of highly selected population (patients candidates for lung volume reduction surgery, patients with very severe COPD or patients included in a rehabilitation programme).

<sup>4</sup>No control for confounding.

<sup>5</sup>Only some studies had some control for confounding.

<sup>6</sup>Determinant was self-reported in most/all studies.

<sup>7</sup>The measures of exposure were so different that results could not be compared.

<sup>8</sup>The majority of studies without control group.

<sup>9</sup>95% CIs too wide to interpret the effect estimates.

<sup>10</sup>Measures of physical activity too different to allow comparison of results across studies.

<sup>11</sup>Potential confounding by indication.

<sup>12</sup>Studies with small to moderate sample size.

<sup>13</sup>Too few measures of physical activity (eg, only indirect and no activity monitor) to judge association with physical activity.

DLCO, Diffusing Lung capacity for carbon monoxide; FEV<sub>1</sub>, Forced Expiratory Volume in the first second; FVC, Forced Vital Capacity; PCO<sub>2</sub>, Partial Pressure of Carbon Dioxide; PO<sub>2</sub>, Partial Pressure of Oxygen; VO<sub>2</sub> max, Maximal Oxygen Uptake; 6MWD, 6 min walk distance.

defined), controlled for confounders and provided consistent results. Therefore, quality of evidence was moderate for both outcomes. Unfortunately, we could not perform any meta-analysis because of the heterogeneity of the studies but we illustrated graphically the effect estimate in each study for exacerbations (figure 2) and mortality (figure 3). Associations for other clinical outcomes such as dyspnoea, health-related quality of life, exercise capacity and FEV<sub>1</sub> were lacking in consistency. Although the underlying studies are often of high quality, we downgraded the quality of evidence from high to moderate because of a lack of direct measures of physical activity. Therefore, quality of evidence is rated as low to very low (table 2D, details in online supplementary table S4).

### Conceptual model for physical activity in patients with COPD

Using information from table 2, we developed a conceptual model for physical activity in COPD (figure 4). In addition to quality of the evidence, the figure also provides visual information on causal direction and the consistency of associations as supported by existing literature.

### DISCUSSION

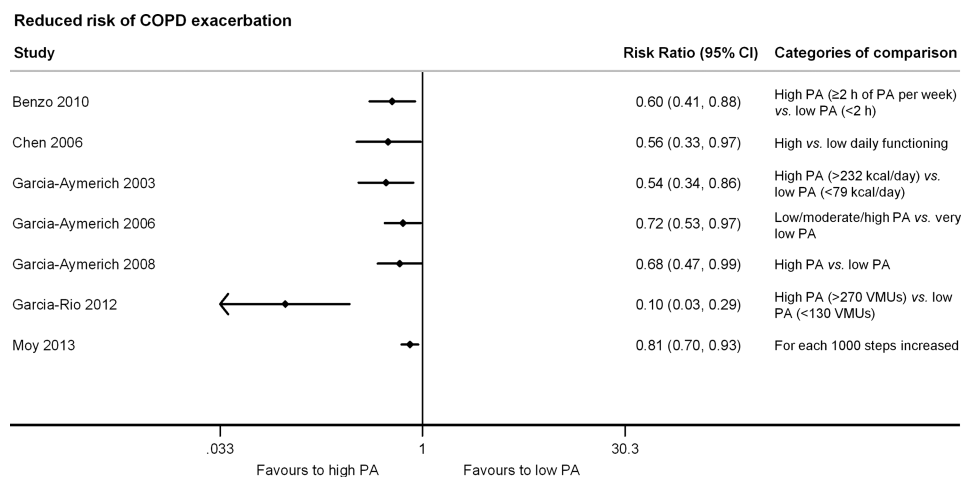
The main findings of this systematic review are, first, there are many studies on clinical, functional, socio-demographic and lifestyle factors as determinants of physical activity in patients with COPD. Unfortunately, the quality of evidence remains very low, mainly because the causal direction of these associations could not be established due to the cross-sectional nature of most studies or because of a lack of control for confounding factors. Second, only a few interventions have been tested as determinants of physical activity, all with inconsistent results. Third, there is consistent evidence of effects of physical activity on COPD exacerbations and mortality.

### Significance of the findings

This systematic review provides important information on what we know today and where research needs to be prioritised. First, available information today does not clearly answer what the determinants of physical activity are in patients with COPD. This is mostly due to the fact that available research has been cross sectional, which even in the case of consistent results, does not conclusively establish if a factor needs to be considered a determinant (exists before levels of physical activity change) or an outcome (results of different levels of physical activity). Some may argue that the rich available data on determinants of exercise capacity (eg, hyperinflation, ventilatory response to exercise, muscle weakness or dyspnoea)<sup>13-15</sup> can be extrapolated as determinants of physical activity. However, it is well known that physical activity (a complex behaviour) and capacity or physical fitness (a set of attributes) are correlated only to a moderate extent<sup>10</sup> and constitute different concepts. This is supported by the fact that some determinants of exercise capacity are not associated with physical activity.

Second, evidence for the effect of therapeutic interventions on physical activity levels is of low to very low quality and is inconsistent. The most studied intervention is exercise training, with 21 studies assessing the effects of exercise training on physical activity in patients with COPD. However, some limitations lowered the confidence in the estimates of effect, such as the lack of a control group in half of the studies or the inconsistency of results (eg, half of studies found significant associations and half did not). In addition, it is important to point out that we included all these interventions that offered at least exercise

**Figure 2** Graphical illustration of the individual study effects of physical activity on reduced risk of exacerbations. Pitta 2006 was excluded from the graph because it did not provide a risk ratio.



training but we did not consider the type of exercise, the duration of the intervention programme or the training intensity used, which varied significantly across studies. Surprisingly, there is scarce evidence about the effects of commonly used pharmacological agents, such as long-acting  $\beta_2$  agonists, on physical activity levels. This should attract attention of the industry since some drug treatments could theoretically increase levels of physical activity, for example, as a result of decreased hyperinflation, reduced symptoms and enhanced exercise endurance.

Third, we identified that the best available evidence is for the association of physical activity with mortality and COPD exacerbations. These results are based on longitudinal studies, the results are consistent, and all estimates of associations were adjusted for known confounders, such as age, sex, BMI, severity and previous exacerbations. Nevertheless, the quality of the evidence is still moderate since physical activity was assessed using questionnaires instead of more precise tools, such as activity monitors in the largest studies. Finally, we could not establish some clinically important parameters such as FEV<sub>1</sub>, dyspnoea and exercise capacity as clear outcomes of physical activity because of the lack of consistent results across studies, small sample sizes leading to imprecise estimates and cross-sectional study design (not allowing directionality). Indeed, diverse cross-sectional studies approached the associations between physical activity and the above-mentioned parameters, some under the

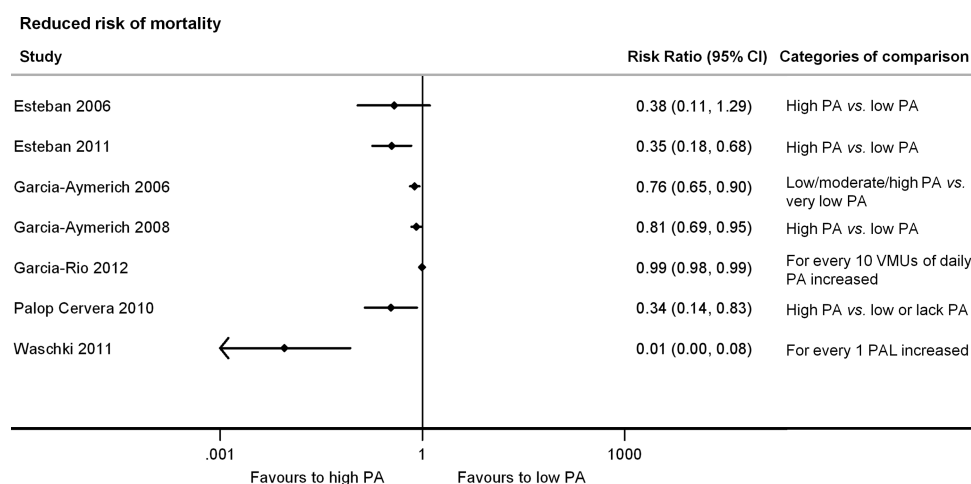
hypothesis they would be determinants of physical activity, while other authors hypothesised they would be outcomes of physical activity. Several studies in the general population support bi-directionality for these associations.<sup>16 17</sup>

### Critique of the method

A strength of this review is the rigorous systematic review methodology followed which was key to dealing effectively with a very heterogeneous literature. Additionally, we report significant and non-significant associations, which allows a comprehensive evaluation of the consistency and strength of the associations described. A challenge of this review was to identify whether a specific association should be categorised as 'determinant', 'outcome' or be excluded. In most cross-sectional studies the authors did not clearly state the hypotheses about the directionality of associations. Our selection of some (but not all) cross-sectional studies and non-directional measures of association (such as correlation coefficients) was restricted to those where the authors clearly described the hypothesis about directionality in the introduction or methods section.

### Future research direction

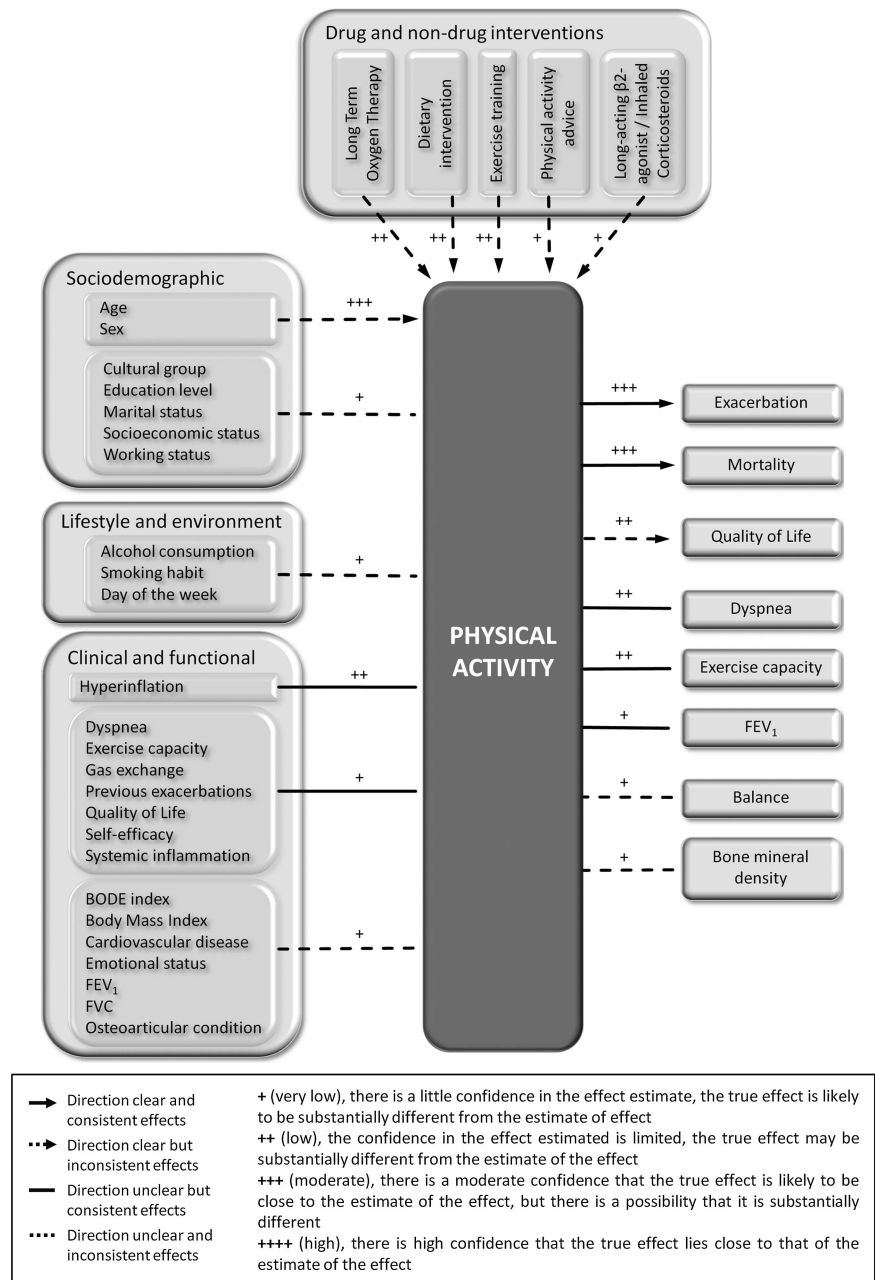
The results of the present review should be taken into account for further research. Specifically, physical activity should be included as an outcome in randomised controlled trials to provide knowledge on the potential effects of drug and



**Figure 3** Graphical illustration of the individual study effects of physical activity on reduced risk of mortality.

## Chronic obstructive pulmonary disease

**Figure 4** Conceptual model of physical activity in patients with COPD. Grading was done separately for each individual determinant/outcome (table 2). Variables of the same category sharing the same confidence rating are grouped together in this figure for clarity. Socio-demographic, lifestyle and environment, and some clinical variables (FEV<sub>1</sub>, body mass index, emotional status and comorbidities) do not show consistent effects on physical activity. The association between other clinical and functional determinants and physical activity such as hyperinflation, exercise capacity, dyspnoea, previous exacerbations, gas exchange, systemic inflammation, quality of life and self-efficacy is consistent across studies, but lacking directionality because mostly it is based on cross-sectional studies. Studies on pharmacological and non-pharmacological treatments as determinants of physical activity are all longitudinal and thus, by design, provided a basis for a clear direction of the associations. But the results are inconsistent with some treatments showing an increase in physical activity and some showing no effect. Regarding the outcomes, only COPD exacerbation and mortality show consistent effects with clear directionality and based on moderate quality evidence.



non-drug treatments on this relevant outcome. Also, this review supports the need, prior to start any study, of a careful review of the existing literature in order not to miss (in data collection or statistical analyses) relevant potential confounders. We acknowledge that cross-sectional studies are easier to perform than longitudinal studies but the quality assessment here shows that they can just yield low-quality evidence about the association of determinants and outcomes of physical activity. The research community should make an effort to conduct prospectively planned cohort studies and randomised trials to provide a stronger evidence base for determinants and outcomes of physical activity and for developing recommendations for or against treatments in clinical guidelines.

In conclusion, the consistent effects of physical activity on mortality and COPD exacerbation are in contrast to the poor evidence about determinants of physical activity, including current COPD treatments and other outcomes of physical activity. There is a need for high-quality observational studies and

randomised trials that use valid and accurate measurements of physical activity.

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## Determinants and outcomes of physical activity in patients with COPD: a systematic review

Elena Gimeno-Santos, Anja Frei, Claudia Steurer-Stey, et al.

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